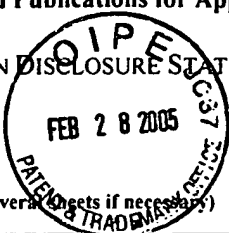


|  |  |                                 |
|--|--|---------------------------------|
| Form PTO-1449 (modified)   | Atty. Docket No.<br><b>213-0060US</b>                        | Serial No.<br><b>09/774,284</b> |
| List of Patents and Publications for Applicant's<br>INFORMATION DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary) | Inventor/Applicant<br><b>Samuel Nochumson</b>                |                                 |
|  | Title: <b>Process and Equipment for Plasmid Purification</b> |                                 |
|  | Filing Date:<br><b>January 29, 2001</b>                      | Group:<br><b>1623</b>           |
| U.S. Patent Documents<br><i>See Page 1</i>   | Foreign Patent Documents<br><i>See Page 1</i>                | Other Art<br><i>See Page 1</i>  |

### U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date       | Name               | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|------------|--------------------|-------|-----------|---------------------|
| <i>Ho</i>   | A1        | 4,830,969       | 05/16/1989 | Holmes             | 435   | 259       | 08/31/1981          |
| <i>Ho</i>   | A2        | 6,011,148       | 01/04/2000 | Bussey, et al.     | 536   | 25.4      | 08/01/1996          |
| <i>Ho</i>   | A3        | 6,214,586       | 04/10/2001 | McNeilly           | 435   | 91.1      | 12/08/1997          |
| <i>Ho</i>   | A4        | 6,277,648       | 08/21/2001 | Colpan             | 436   | 177       | 06/02/1994          |
| <i>Ho</i>   | A5        | 6,750,333       | 06/15/2004 | Kuhne              | 536   | 23.1      | 08/04/1998          |
|             | A6        | 2002/0198372    | 12/26/2002 | Bridenbaugh, et al | 536   | 25.4      | 07/23/1998          |

### Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date     | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|----------|---------|-------|-----------|--------------------|
| <i>Ho</i>   | B1        | WO 96/21729     | 07/18/96 | WIPO    | C12N  | 15/10     | Yes                |
| <i>Ho</i>   | B2        | WO 98/11208     | 03/19/98 | WIPO    | C21N  | 15/10     | Yes                |
| <i>Ho</i>   | B3        | EP 0 431 905    | 12/05/90 | EPO     | C12N  | 15/10     | Yes                |

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation  |
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| <i>Ho</i>   | C1        | Koller, et al.; "Laboratory-Scale Production And Purification Of Recombinant HIV-1 Reverse Transcriptase;" Journal of Chromatography B, 664 (1995) 107-118                        |
| <i>Ho</i>   | C2        | Maa, et al.; "Rapid High-Performance Liquid Chromatography Of Nucleic Acids With Polystyrene-Based Micropellicular Anion Exchangers;" Journal of Chromatography, 508 (1990) 61-73 |
| <i>Ho</i>   | C3        | Marquet, et al.; "Process Development For The Manufacture Of Plasmid DNA Vectors For Use In Gene Therapy" BioPharma, (September 1995) 26-37                                       |
| <i>Ho</i>   | C4        | Ohmiya, et al.; "Separation Of DNA Fragments By High-Resolution Ion-Exchange Chromatography On A Nonporous QA Column;" Analytical Biochemistry 189 (1990) 126-130                 |

EXAMINER:

*David E. Smith*

DATE CONSIDERED:

*3-16-05*

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)